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PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	Diaz et al.	Examiner:	Unassigned
Serial No.:	09/864,486	Group Art Unit:	1651
Confirmation No.:	2985	Docket:	976-9
Filed:	May 24, 2001	Dated:	November 25, 2003
For:	DNA Fragments of the Methylotrophic <i>Pichia pastoris</i> Yeast <i>ICL</i> Gene		

Mail Stop Sequence
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify this correspondence is being deposited
with the United States Postal Service as first class mail,
postpaid in an envelope addressed to Commissioner for
Patents, PO Box 1450, Alexandria, VA 22313-1450

on Julie L. Watt Signature 11/25/03

**COMMUNICATION SUBMITTING AN INITIAL COMPUTER READABLE
FORM OF SEQUENCE LISTING**

Sir:

In response to a telephone call from Ms. Delora Dillard on November 17, 2003 and a
Notice to File Missing Parts mailed on June 25, 2001, Applicants enclose herewith the
following:

1. An initial diskette containing the Sequence Listing in computer-readable form;
and
2. A copy of the Notice.

Under 37 C.F.R. 1.821(f), the Applicants' attorney hereby states that the contents of
the computer readable form and the paper copy are the same. Under 37 C.F.R. §1.821(g), the
Applicants' attorney also states that the inclusion of this Sequence Listing does not include
any new matter.

Accordingly, it is respectfully requested that the Sequence Listing be entered into the
application.

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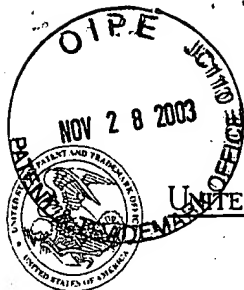
If the Examiner has any questions relating to this Amendment or to this application in general, it is respectfully requested that the Examiner contact the Applicants' undersigned attorney at the telephone number provided below.

Respectfully submitted,



Lauren T. Emr
Registration No. 46,139

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LTE:jlw

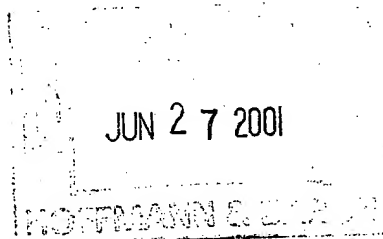


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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/864,486	05/24/2001	Javier Menendez Diaz	976-9

Hoffmann & Baron, LLP
6900 Jericho Turnpike
Syosset, NY 11791



CONFIRMATION NO. 2985

FORMALITIES LETTER



OC000000006224549

Date Mailed: 06/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY